



Professional Financial
Intermediary Services

Please sign and scan a copy of this agreement and send to katherine@professionalfis.co.uk

Company name:

Contact name:

Address:

Tel:

Fax:

E-mail address:

I/the company would like to introduce clients to PFIS with the intention seeking solutions to my/our clients' needs as indicated below ONLY.

A fee will be payable to the introducer in all instances where work has been referred/instructed where clients proceed to full "legal" completion. Fees upon legal completion will be paid in accordance with the Terms & Conditions laid out in Appendix A within the Welcome Pack provided and may be reviewed and amended giving 28 days' notice. If an application is cancelled or withdrawn at any time prior to legal completion, or for any reason does not proceed to completion, the fee will not be paid.

1. I/the company accept(s) the independence of the professional advice of the PFIS associate referred to and accept that clients will receive impartial and confidential advice but are free to choose another source of advice if deemed necessary. PFIS will monitor the process of the work in accordance with the instructions provided in the best interests of the client introduced.
2. I/the company accept that PFIS will not reveal any information at any time without the clients' written consent.
3. I/the company accept that PFIS will review this agreement regularly in accordance with the FCA's Guidance.
4. I/the company agree(s) not to publicise PFIS or its associate's services without their written consent and their agreement to the content of any advertising.
5. I/the company understand(s) that all quoted fees are subject to finalisation in accordance with the clients' choices upon completion.
6. I/the company understand PFIS and its associates reserve the right not to act for any client at their discretion.
7. I/the company confirm that I/they will also provide the following statement to clients who I/we refer: "We comply with the FCA guidance and any associate to whom we refer you is an independent professional firm from whom you will receive impartial and confidential advice. You are free to choose another option at any time."
8. I/we undertake and warrant all information given to PFIS either verbally or in writing is correct and does not materially omit any information or facts that should be brought to the attention of PFIS.

This agreement implies no relationship between the applicants/clients and PFIS and accordingly neither may be bound by any other contract or otherwise unless permitted by the terms of this agreement.

Signed:

FCA Number:

Date:

PROFESSIONAL FINANCIAL INTERMEDIARY SERVICES LIMITED
Suite B2 Canton House 435/451 Cowbridge Road East, Cardiff CF5 1JH
FCA Number 672617 - Company Number: 09935848